Ten Years of Working
"SMARTer" to Support
Student, Family, and School
Mental Health



http://smartcenter.uw.edu

Aaron Lyon, Ph.D. & Jill Locke, Ph.D.

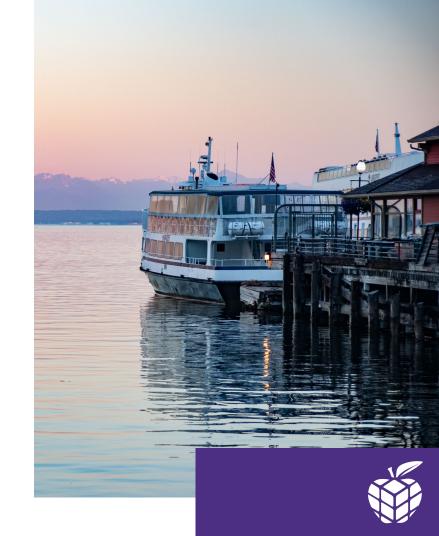
University of Washington School of Medicine
UW School Mental Health, Assessment, and Training (SMART) Center



LAND ACKNOWLEDGEMENT

The UW SMART Center acknowledges that we learn, live, and work on the ancestral lands of the Coast Salish people who walked here before us, and those who still walk here.

We are grateful to aim to respectfully live and work on these lands with the Coast Salish and Native people who call this home.



Overview

- 1. Schools are an **essential context** for youth mental health service access and delivery
- 2. For the past 10 years, the SMART Center has been dedicated to ensuring high-quality student social, emotional, and behavioral supports
- 3. SMART's research portfolio engages **multiple system levels** to support implementation
- 4. The future is bright!

80% of youth lack access to care

- Few trained clinicians
- Scarce appointments
- Long waitlists
- Inadequate insurance coverage
- Lack of transportation

- Limited information among families
- Insufficient time for appointments
- Social stigma & medical racism
- Distrust of clinical settings
- Low availability of effective treatments

Schools play a major role in promoting the emotional wellness of our children & youth



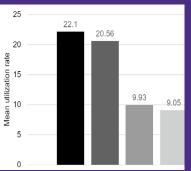
Only 20% of youth who require mental health services receive them



Social-emotional learning programs improve achievement by 13% on average



Positive school climate protects youth from external risk factors



Youth are more likely to receive MH supports in schools than any other setting

SMH improves access to care for underserved youth

School-based services can be improved

- The majority of attempts to implement and sustain evidence-based supports are unsuccessful (Gottfredson & Gottfredson, 2002; Horner et al., 2018)
- 89% of nationally certified school psychologists "rarely" or "never" use behavioral EBPs (Hicks et al., 2014)
- There is a persistent "last mile" problem in which education research fails to reach the individuals for whom it was intended (Schneider, 2020)



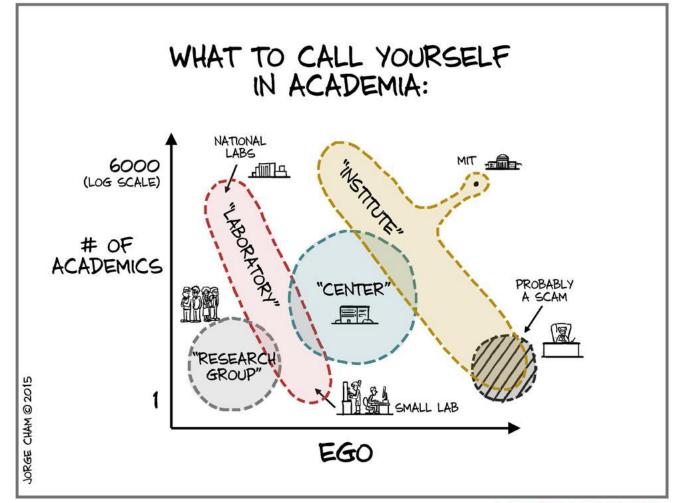


SMART'S MISSION:

To promote high-quality, culturally-responsive programs, practices, and policies to meet the full range of social, emotional, and behavioral (SEB) needs of students in both general and special education contexts.











UNIVERSITY of WASHINGTON

UW Medicine

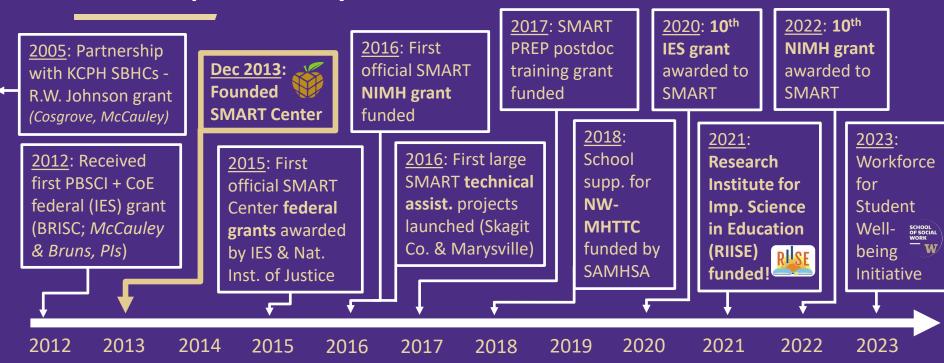
DEPARTMENT OF PSYCHIATRY

AND BEHAVIORAL SCIENCES

SCHOOL OF SOCIAL WORK
UNIVERSITY of WASHINGTON



An Incomplete History of SMART Milestones



SMART's current activities, "by the numbers"

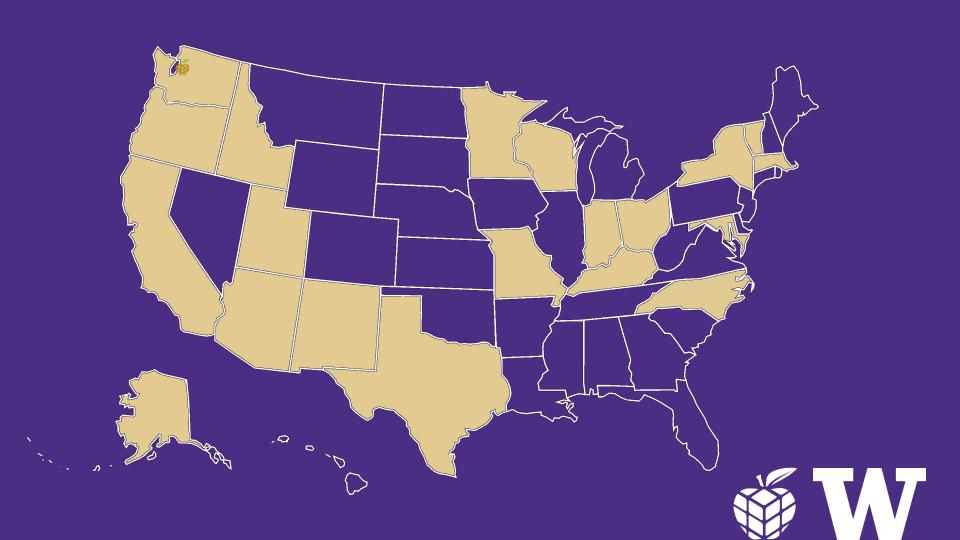
Active projects in...

720 school districts ~3,600 school buildings



\$48
Million in active grants and contracts





Outer setting



- Patient needs/resources
- Cosmopolitanism
- Peer pressure
- External policies/incentives

Implementation is a multi-level endeavor

Inner setting



- · Structural characteristics
- Networks/communications
- Culture
- Climate
- Readiness



Process

- Planning
- Engaging
- Executing
- · Reflecting/ Evaluating

Intervention characteristics

- Intervention source
- Evidence strength/quality
- Relative advantage
- Adaptability
- Trialability
- Complexity
- Design quality/packaging
- Cost



Characteristics of individuals

- Knowledge/belief
- Self-efficacy
- · Individual stages of change
- · Individual identification with organization
- Other personal attributes



Figure adapted by The Center for Implementation

Source: Damschroder, L.J., Aron, D.C., Keith, R.E. et al. Fostering implementation of health services research findings into practice: a consolidated framework for advancing implementation science. Implementation Sci 4, 50 (2009). http://doi.org/10.1186/17485908-4-50

Outer setting Patient needs/resources Cosmopolitanism Peer pressure External policies/incentives



- Planning
- Engaging
- Executin
- Reflecting/ Evaluating

- Academic calendar
- Policy intersection/conflict for schoolbased health services (in USA, HIPAA vs. FERPA)
- District policy (e.g., centralized vs. sitebased decision making)
- Integrated data systems? (e.g., Norway)
- Inter-organizational linkages and community resources (e.g., for referrals)

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Inner setting



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- School leadership (including expertise in subject matter) & building-level priorities
- Climate (molar vs. strategic)
- Turnover rates
- Buildings with virtually no resources (e.g., LMICs)

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- Professionals with diverse backgrounds (e.g., differing knowledge, role expectations) Attitudes toward evidence
- Beliefs about initiative stability (i.e., "flavor of the month")
- Wide range of student need and severity
- Student diversity (ethnic, racial, cultural, gender, economic, etc.)



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Designed for schools vs. adapted to schools

- Interventions often need to be multilevel
- Intervention usability / design quality
- Plenty of non-evidence-based programs and practices that may need de-adoption

Inner setting

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SMART Research Portfolio: Interventions



Brief Intervention for School Clinicians and Counselors (Bruns & McCauley, 2022)

Session 1: Engagement, Informal Assessment and Problem Identification

Session 2: Problem Solving

Session 3: Continued Problem Solving – teaching skills as needed:

- Stress and Mood Management
- Realistic Thinking
- Communication Skills

Session 4: Review Student's Needs & Progress to Triage to Next Steps

R305A120128, R305A160111 (PIs: Bruns & McCauley)





RUBI in Educational Settings (RUBIES)

R34MH123598

(PIs: Locke & Bearss)



RUBI VS RUBIES

RUBI for PARENTS	<u>Modification</u>	RUBIES for PARAEDUCATORS
	NEW	Autism 101
Behavioral Principles		Behavioral Principles
Prevention Strategies		Prevention Strategies
Daily Schedules		Daily Schedules
Reinforcement 1 & 2	COMBINED	Reinforcement
Planned Ignoring	COMBINED	Planned Ignoring + Functional Communication Training
Functional Communication Training		
Compliance Training	REMOVED PHYSICAL PROMPTING	Compliance Training
Teaching Skills 1 & 2	REMOVED	
Generalization and Maintenance		Generalization and Maintenance
7 Topical Supplemental Sessions	REMOVED	

Multi Tiered

System of

School

Mental

Health

Supports

(MTSS)

Screening

Selected

SOME Students

Small Group & Individual Strategies

Universal

ALL Students

School/class-wide, Culturally Relevant Systems of Support

Tier 3 Menu of Individual Supports for a FEW:

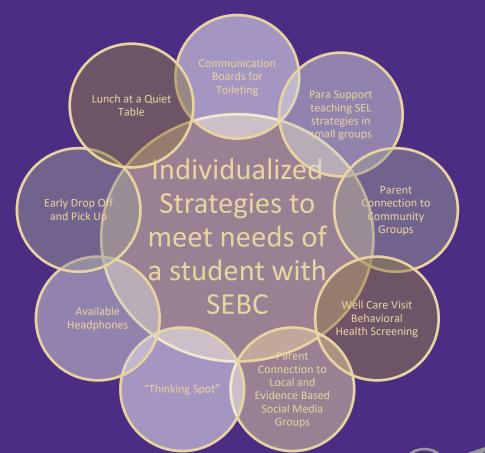
- Behavior Intervention Plans
- Cognitive Behavior Therapy
- "Tier 3 Wraparound" teaming

Tier 2 Menu of Selected Supports for SOME:

- Behavioral contracting
- •Brief Assessment, Treatment, & Triage
- Mentor-based programs
- Group social-emotional skills training

Tier 1 Menu of Supports for ALL:

- School-wide PBIS
- Positive relationships with all students
- Social-emotional learning (SEL)
- Evidence based prevention
- E.g., Good behavior GameEffective Classroom Practices





USABILITY

Relationship of Intervention Usability to Implementation and Service Outcomes

Intervention *Usability*

Perceptual
Implementation
Outcomes

Behavioral
Implementation
Outcomes

Service
Outcomes

- Efficiency
- Effectiveness
- Errors

- Acceptability
- Appropriateness
- Feasibility

- Adoption
- Fidelity
- Reach/Penetration
- Social-emotional
- Wellbeing
- Attendance
- Discipline

R305A230391 (Pls: Lyon & Bruns)



USABILITY



Learnability

Efficiency

Memorability

Error Reduction

Cognitive Load

Exploit Natural Constraints

SEBI Redesign Solutions

(Stirman et al., 2019)

Tailoring / Tweaking / Spreading Across Refining Multiple Encounters

Changes in Packaging Integrating into Another Materials

Adding Elements

Removing/Skipping Elements

Repeating Elements or Modules

Framework

Integrating Another

Approach into the EBP

Shortening / Condensing

Loosening Structure

Lengthening / Extending

"Drift" from Protocol within Encounter

Substituting

Reordering

Implementation

Outcomes (Proctor et al., 2011)

Acceptability

Feasibility

Appropriateness

Adoption

Fidelity

Sustainment

Cost

Student and School Outcomes

Academic Success

attendance, achievement. grades, discipline

Social-Emotional Wellness

behavior, emotions, relationships

School Outcomes

School climate Classroom quality

R305A230391 (PIs: Lyon & Bruns)



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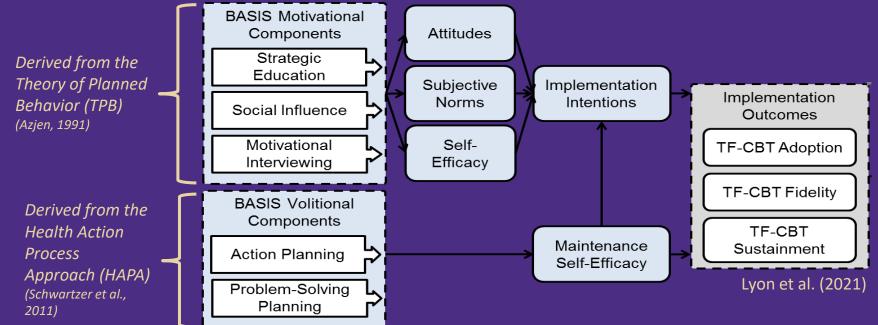


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implementation of health services research findings into practice: a consolidated framework for advancing implementation science. *Implementation Sci* **4**, 50 (2009). http://doi.org/10.1186/17485908-4-50

Beliefs & Attitudes for Successful Implementation in Schools (BASIS): A theory-driven pre-implementation strategy





R01MH119148, R305A220481, P50MH126219, R305A170292, R21MH108714 (PIs: Lyon, Cook, Hugh, & Pullmann)







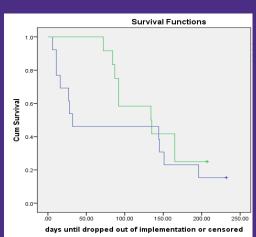
- > In pre-post trial, attitudes were associated with two measures of fidelity (d = .51 and d = .67) (Cook et al., 2015)
- > 74% of teachers exposed to BASIS demonstrated early <u>adoption</u>, compared to only 40% of control teachers (Merle et al., 2022)

Across both conditions, teachers who demonstrated higher self-efficacy were

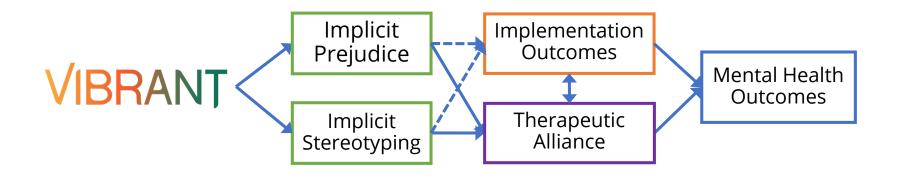
those who were more likely to be early adopters.

> Differences in time to post-training clinician dropout from consultation (Lyon et al., 2019)

- Control: 30 days
- BASIS: 120 days
- > Two large-scale RCTs of BASIS are ongoing!



Virtual Implicit Bias Reduction and Neutralization Training (VIBRANT) for School Mental Health Clinicians





Integrating Behavior Support and Team Technology (ibestt) Coaching and Collaboration Tool

- > https://www.education.uw.edu/ibestt/
- > Web and app-based tool for school behavior coaches to collaborate with teachers who have students with challenging behavior
- > Facilitates team development of behavioral assessments, behavioral improvement plans, and ongoing behavior tracking
- > Features embedded training guides and tools to improve coaching and teacher behavior management
- > IES Impact grant (R01 equivalent) began 2023 to test efficacy in stepped-wedge cluster RCT



Organizations

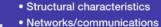


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HELM – Helping Educational Leaders Mobilize Evidence (HELM)

> HELM Development Phases



R305A200023 (Pls: Lyon & Locke)

HELM – Helping Educational Leaders Mobilize Evidence (HELM)

- Assessment
- Didactic Training
- Leadership Development Planning
- Individual and Group Coaching
- Organizational Strategy Development
- Professional Learning Collaboratives
- Graduation

Autism Intervention Research Network in Behavioral Health (AIR-B)



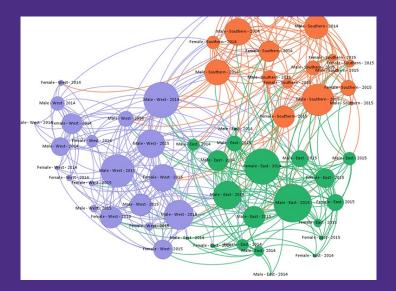






HRSA UT3MC39436







UNITED

Using Novel Implementation Tools for Evidencebased intervention Delivery

Sometimes training isn't enough.

Too often we train professionals in a new program, and then don't give them the support they need to make it work. UNITED was designed to help organizations implement and sustain new programs in a cost-effective way.

UNITED uses strategies from organizational psychology to help organizations implement and sustain new programs. We want to test if these strategies work.

In UNITED, a facilitator helps create an implementation team that includes leaders and frontline staff. In just a few meetings, the team develops an implementation plan, assigns tasks, and establishes mechanisms for making sure that the program stays on track. The team checks in periodically to assess progress and make changes as needed. This small investment up front may ensure that programs are implemented successfully.

What does UNITED involve?

STEP 1

The agency/school leader & the implementation team leader meet with a facilitator to review UNITED activities, identify the implementation team and set a meeting schedule (45-60 minutes)

STEP 2

The agency/school leader & implementation team leader identify the implementation team using the social network analysis survey.

STEP 3

The implementation team meets with a facilitator to develop a comprehensive plan for implementation of the intervention (two 90-minute sessions)

Session 1: Explore the intervention fit, supports needed, and potential barriers to success

Session 2: Plan roles & responsibilities, communication strategy, how to measure success, and how to build-in flexibility

STEP 4

Frontline staff receive ongoing coaching. The implementation team supports frontline staff, and meets regularly to evaluate success and problem solve as necessary.



DOES YOUR ORGANIZATI FAMILIES OF CHILDREN WIT

The Autism Intervention Research Network on (AIR-B) works to improve services for all chil spectrum disorder (ASD) and their for

Our team has received funding for the next 5 communities implement several intervention autism and their families, starting with N

WHAT IS MIND THE

Mind the Gap (MTG) is a flexible, care intervention for families of young childr are using a modular approach so we c intervention based on the needs of diff and different families.

Peer navigators are the backbone of MTG!

Peer navigators are other parents of children with disabilities and are the ones who directly work with families. This allows them to share their expertise and also establish trust.



Mind the Gap covers topics



SUPPORT





DOES YOUR SCHOOL INCLUDE STUDENT! WITH AUTISM?

The Autism Intervention Research Network on Behavioral Health (AIR-B) works to improve services for all students with autism spectrum disorder (ASD) and their families.

Our team has received funding for the next 5 years to help our public schools implement a recess-based intervention called Remaking Recess!

WHAT IS REMAKING RECESS?

Remaking Recess is a flexible, school-based social engagement intervention for students with autism and their peers. We work with school personnel to deliver Remaking Recess during recess. We tailor the program based on the needs of schools, students, and staff.

Remaking Recess is all about inclusion!

The intervention involves all members of classroom communities - from teachers to peers - to help make sure everyone is socially included. We engage administration as well to facilitate an inclusive school culture.



IMPROVING SERVICES FOR ADOLESCENTS AND ADULTS WITH AUTISM

The Autism Intervention Research Network on Behavioral Health (AIR-B) is seeking community partners to implement the Self-Determined Learning Model of Instruction (SDLMI).

WHAT IS SELF-DETERMINATION?

Self-determination is about acting or causing things to happen to you.



Greater academic achieviement



outcomes after high school



Self-Determined Learning

Model of Instruction

Enhanced community participation

Minority Engagement and Disparity Reduction (MENDR)

- > IES partnership grant with Seattle Public Schools
- > Students of color are 5 times more likely to receive punitive school discipline than White students
- > Developed the Disproportionality in Discipline Assessment for Schools (DDAS)
 - Tool to help schools assess of 8 malleable factors associated with discipline disparities, and select evidence-based interventions tailored to their needs
- > Tested Engage-Maintain-Restore as a relationship-building strategy in one Seattle Public School



Research Training



SMART PREP











Research Institute for Implementation Science in Education (RIISE)



"There is truly nothing like RIISE for education researchers who are also implementation scientists. This training program fills such a critical gap for the future of US school systems and students."

- Recent RIISE Fellow

R305B210004 (PI: Lyon)





RIISE Fellows





Future Directions

- > Research + Technical Assistance integration
 - Tested implementation strategies → Implementation practice
- > Continued workforce development (research and practice; RIISE, WSW)
- > Expansion of SMART's implementation research portfolio
- > Close partnership with UW ALACRITY
- > WA State advocacy and partnerships
 - Tune into March Grand Rounds at SCH!





Conclusion

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- 3. SMART's research portfolio engages **multiple system levels** to support implementation
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